Original Paper



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Factors associated with falls in elderly people with osteopenia or osteoporosis counter-referred from secondary care

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Abstract

Objective: Analyze the factors associated with the occurrence of falls in the elderly with low bone mineral density. **Methods**: Study performed between July 2014 and January 2016. Involved a cross sectional secondary data collection and telephone survey to counterreferred elderly patients from a secondary care service for primary care service in Belo Horizonte, Minas Gerais. **Results**: Were analyzed data from 2.020 patients and, among them, 1.120 were selected to participation in telephone survey phase. Respondents were predominantly female, aged 70-79 years, with normal or overweight body mass index, diagnosed with osteoporosis and family history of fractures. Regarding habits, the greater proportion did not practice physical activities, did not drink and smoke, did not sunbathe and had low intake of dark green vegetables. In addition, most of them had a fixed income, had been contacted by primary care after counter-referral and depended exclusively on the National Unified Health System. Higher proportion of falls was observed among women, in patients diagnosed with osteoporosis, with advanced age, who did not receive contact to return to the health center and in those with individual and family history of fractures. Statistical analysis demonstrate female gender, advanced age, individual or family history of fractures and low or no intake of dark green vegetables were factors that increased the risk of falls. **Conclusion**: Recommendations for improving health habits are simple actions and should be encouraged because they contribute to reducing the occurrence of falls and, consequently, it may lead to decrease morbidity and mortality of elderly patients.

Keywords: osteoporosis, bone density, accidental falls, health services for the aged, epidemiologic research design, healthcare surveys.

Fatores associados à ocorrência de quedas em idosos com osteopenia e osteoporose contrarreferenciados pela atenção secundária

Resumo

Objetivos: Analisar os fatores associados à ocorrência de quedas em idosos com baixa densidade mineral óssea. **Métodos**: Estudo realizado entre julho de 2014 e janeiro de 2016. Envolveu um corte transversal com coleta de dados secundários e inquérito telefônico a idosos com baixa densidade mineral óssea contrarreferenciados de um serviço de atenção secundária para a atenção primária em Belo Horizonte, Minas Gerais. **Resultados**: Dados de 2.020 pacientes foram analisados e, dentre eles, 1.120 participaram da fase de inquérito telefônico. Os entrevistados eram predominantemente do sexo feminino, com idade entre 70-79 anos, apresentavam Índice de Massa Corporal classificado como normal ou com sobrepeso, com diagnóstico de osteoporose e histórico familiar de fraturas. Quanto aos hábitos, maior proporção não praticava atividades físicas, não bebia e não fumava, não tomava banho de sol e tinha baixa ingestão de verduras verde-escuro. Além disso, em sua maioria, possuía renda fixa, havia sido contatado pela atenção primária após contrarreferenciamento e dependia exclusivamente do Sistema Único de Saúde. Maior proporção de quedas foi observada entre mulheres, em pacientes com diagnóstico de osteoporose, com faixa etária avançada, que não receberam contato para retorno ao centro de saúde e naqueles com histórico individual e familiar de fraturas. Análise estatística demonstrou que sexo feminino, faixa etária avançada, histórico individual ou familiar de fraturas e baixa ou nenhuma ingestão de verduras de coloração verde escuro foram fatores que aumentaram o risco de quedas. **Conclusão**: Ações simples como recomendações para melhoria dos hábitos de saúde devem ser fomentadas, pois contribuem para a redução da ocorrência de quedas e, consequentemente, podem levar à diminuição da morbimortalidade dos pacientes idosos.

Palavras-chave: osteoporose, densidade óssea, saúde do idoso, acidentes por quedas, planos e programas de saúde, projetos de pesquisa epidemiológica, inquéritos epidemiológicos.





Introduction

With the increasing life expectancy of the Brazilian population,¹ health professionals have turned their attention to the problems inherent to aging, such as chronic diseases, the continuous use of medication and the fragility and risks involving all these factors, of which the most important is the occurrence of falls.²

Several studies indicate that approximately one-third of the elderly population aged 65 and over experience an annual episode of fall.² This event has assumed an epidemic dimension in the Brazilian elderly population, representing an important cause of morbidity and mortality due to the great susceptibility to injuries and their consequences.³ Data from the Ministry of Health⁴ show that about 30% of the elderly fall each year; this rate rises to 40% among the elderly over 80 and to 50% among those living in long-term care institutions for elderly people. These data also indicate that women tend to fall more frequently when compared to men up to the age of 75, but that the frequencies are equal from that age onwards.

Risk factors for falls are well established, and the main one is associated with osteoporosis.^{3,5,6,7} In Brazil, in 2010, the cost of treatment and assistance by the SUS for the care of patients with osteoporosis and victims of falls and fractures was approximately 81 million reais.⁸

In the state of Minas Gerais, there is a project called "*Programa Mais Vida*" ("More Life Program")⁹, responsible for the referral of the elderly to specialized secondary care services, so that they can be evaluated by a multidisciplinary health team, aiming to improve their quality of life and to integrate the care provided to the elderly patient. At the end of the process, patients are counter-referred to primary care with a care plan that suggests treatments and measures to improve quality of life, including the prevention of falls and fractures.

Considering the risks involved in fractures in the elderly and the existence of a public health program specialized in the care of this age group, it becomes opportune to know the profile of the patients attended and the health actions involved in the prevention and treatment of osteoporosis. The objective of this research, therefore, is to analyze the factors associated with the occurrence of falls in elderly people with low bone mineral density.

Methods

This is a cohort carried out at two different times: I. Data collection from the patients in a secondary source; and, II. Telephone survey. Patients considered to be frail elderly cared for by primary health care in Belo Horizonte city, were referred to secondary care where a multidisciplinary care plan was established, which should be implemented by primary care, after counter-referral.

In the first phase, data from these care plans were used and sent electronically to the basic health units. In the second phase, the same patients were contacted by telephone. The telephone questionnaire was made based on the methodology of telephone surveys already carried out in Brazil, such as Vigitel¹⁰, and the questions and answer options were defined by consensus among specialists and researchers.

The inclusion criteria for the study were the following: patients diagnosed with osteopenia or osteoporosis who had been cared for at least one year ago in specialized care; aged 60 or older; who responded to the telephone survey; and with records related to the occurrence of falls.



Data collection in the first phase was carried out directly from the history of e-mails sent by the secondary care institution between September 2011 and December 2013. The interviews took place between July 2014 and January 2016. The two stages were executed by undergraduate and graduate students, previously trained.

Besides the dependent variable of occurrence of falls (yes/ no) in the year preceding the telephone survey, independent variables were collected: sociodemographic: receiving a monthly fixed income (yes/no), access to a private health plan or insurance (yes/no); anthropometric: gender (male/female), age range, Body Mass Index (BMI) classification; clinical: general densitometric diagnosis (osteopenia/osteoporosis), individual fracture history (yes/no), family history of fractures (yes/no), previous or current smoking (yes/no), previous or current alcohol use (yes/no); lifestyle-related: physical activity (yes/no), daily intake of milk and/or dairy products (yes/no), frequency of dark green vegetable intake (medium or high/none or low), frequency of sunbathing (medium or high/none or low); and related to the use of medication for the prevention or treatment of osteoporosis (yes/no). The daily intake of milk referred to the minimum amount of a portion of milk or its derivatives ingested each day. Regarding the frequencies in the intake of calcium-rich vegetables (dark green leafy vegetables) and sunbathing, they were considered as medium or high when they occurred three times or more per week, while physical activity was considered regular when it was performed at least twice a week. The family history of fractures concerned hip fractures in first-degree relatives, in this case, the patient's father or mother.

A descriptive analysis of the data was performed, with the calculation of absolute and relative frequencies in all the variables. The characteristics of the patients were compared according to the occurrence or not of falls, using the Chi-square test. The variables that presented statistically significant association (p<0.20) with the occurrence of falls were selected for the binary logistic regression model. The variables in the final model were selected by the stepwise method (p<0.05). The quality of the logistic regression model setting was evaluated by Hosmer-Lemeshow test with p>0.05 and the area below the ROC (Receiver Operating Characteristics) curve greater than 0.60. In the occurrence of falls, the magnitude of the effect of the variables in the final model was calculated by means of the estimates of the Odds Ratio, with a 95% confidence interval.

The data were compiled into tables in the Microsoft Excel 2010 software and analyzed using the Minitab statistical application, version 17.3.1.

The research was approved by the Ethics and Research Committee of the Federal University of Minas Gerais, under No. 534 337.

Results

Of the 2,020 selected patients in the first phase, 1,268 (62.8%) had eligible telephonic lines and participated in the telephonic survey. 121 deaths were observed, and 27 patients refused to participate in the survey; in the end, 1,120 telephonic surveys were made. Five patients were excluded for not having any falls occurrence records. The population of this study was of 1,115 patients (Figure 1).



Figure 1. Flow chart for the selection of the study population.



The participants were predominantly female (81.8%) and the mean age of the patients was 75.7 years old, ranging from 60 to 96. The mean BMI was 26.2 kg/m² and ranged from 12.4 to 45.0 kg/m². The diagnosis of osteoporosis was more prevalent (51.9%) than osteopenia (48.1%). Most of the patients had a fixed income (94.5%), no health plan (83.4%), and had been contacted by the basic health unit after the counter-referral (91.4%). Regarding life habits, the majority did not practice physical activities (85.3%), ingested milk or dairy products daily (84.5%), had a low or no dark green vegetable intake (54.5%), and did not sunbathe or did it at low frequency (77.7%). As for the fractures, 41.0% had an individual history and 90.9% had a family history of fractures. As for the smoking or drinking habits, 10.4% were current or former smokers and 11.8% were current or former alcohol users. Of the total number of patients, 56.8% were undergoing pharmacological treatment (Table 1).

Regarding the occurrence of falls in the year before the interview, 30.9% of the patients reported having suffered at least one. Among these, 2.9% reported repeated falls within the time interval considered. Comparing the elderly who fell with those who did not, there was a higher proportion of falls among women, diagnosed with osteoporosis, with individual and family history of fractures, and the differences were statistically significant (Table 1).

Table 1. Comparison between the occurrence or not of falls, according to sociodemographic, anthropometric, clinical and lifestyle characteristics, in elderly patients with low bone mass counter-referred by secondary care to primary care. Belo Horizonte, 2011-2016

	General ⁽¹⁾		Occurrence of falls ⁽²⁾				
Characteristics	(N=1	,115)	No (N	l=771)	Yes (N	I= 344)	р ⁽³⁾
-	n	%	n	%	n	%	- •
Female gender ⁽⁴⁾	912	81.8	614	79.6	298	87.4	0.005
Age Group (years old)							0.188
60-69	233	20.9	169	21.9	64	18.6	
70-79	521	46.7	354	45.9	167	48.5	
80-89	338	30.3	236	30.6	102	29.7	
90+	23	2.1	12	1.6	11	3.2	
BMI classification							0.169
Low weight	33	3.0	23	3.0	10	2.9	
Adequate	416	37.7	289	38.0	127	37.2	
Overweight	412	37.4	296	38.9	116	34.0	
Obese	241	21.9	153	20.1	88	25.8	
Densitometric diagnosis							0.046
Osteopenia	536	48.1	386	50.1	150	43.6	
Osteoporosis	579	51.9	385	49.9	194	56.4	
Has a monthly income ⁽⁴⁾	1,052	94.5	730	94.7	322	94.2	0.720
Has a health plan ⁽⁴⁾	185	16.6	124	16.1	61	17.7	0.500
Received contact for returning to the health center ⁽⁴⁾	1,014	91.4	709	92.4	305	89.2	0.073
Practices physical activity ⁽⁴⁾	164	14.7	117	15.2	47	13.7	0.510
Drinks milk daily ⁽⁴⁾	940	84.5	659	85.6	281	81.9	0.120
Frequency in the intake of dark green vegetables							0.051
Medium/High	502	45.4	363	47.3	139	41.0	
Low/None	604	54.6	404	52.7	200	59.0	
Sunbathing frequency							0.420
Medium/High	249	22.3	167	21.7	82	23.8	
None/Low	866	77.7	604	78.3	262	76.2	
Individual history of fractures ⁽⁴⁾	457	41.0	276	35.8	181	52.6	< 0.001
Presence of family history of fractures ⁽⁴⁾	84	9.1	48	7.4	36	13.1	0.006
Smoker or ex-smoker ⁽⁴⁾	116	10.4	76	9.9	40	11.7	0.358
Present or previous alcohol consumption ⁽⁴⁾	131	11.8	87	11.3	44	12.9	0.453
Pharmacological treatment ⁽⁴⁾	619	56.8	425	56.4	194	57.7	0.690

Note: The total values differ among the different patient characteristics due to the missing data. (1) The percentages add up to 100% in the column. (2) The percentages add up to 100% in the column. (3) Chi-square test. (4) Variable with a binary answer of the yes or no type. The proportions are given for the "yes" answers. Source: Elaborated by the author, based on the database of the project entitled "Clinical and epidemiological aspects of patients submitted to osteometabolic evaluation at the CMV of the Jenny Faria Institute for the Health Care of Elderly Individuals and Women".²⁵





To evaluate the factors associated with the occurrence of falls, it was necessary to exclude the missing data (19.4%), obtaining a total of 899 records. It was observed that being male and not having an individual or family history of fractures were protective factors against the occurrence of falls. Low or no intake of dark green colored vegetables and advanced age groups were factors that increased the chance of falls. The individual history of fractures showed a strong positive association since the elderly who already had a fracture would have 2.3 times more chance to fall than the one who never suffered any fracture (Table 2).

Table 2. Multiple associations between the socio-demographic and clinical characteristics and the occurrence of falls in elderly patients with low bone mass counter-referred by secondary care to primary care. Belo Horizonte, 2011-2016

Term	Coefficient	SE	OR (95%CI)	р
Constant	-0.288	0.186	-	<0.001
Gender				
Female	-	-	-	-
Male	-0.587	0.219	0.5562 (0.3620; 0.8545)	0.007
Age group				
60-69	-	-	-	-
70-79	0.281	0.197	1.3241 (0.8991; 1.9499)	0.155
80-89	0.019	0.217	1.0191 (0.6664; 1.5584)	0.931
90+	0.581	0.581	2.7448 (0.8790; 8.5712)	0.082
Frequency in the intake of dark green	vegetables			
Medium/High	-	-	0.6903 (0.5099; 0.9345)	-
Low/None	0.371	0.155	-	0.016
Individual history of fractures ⁽⁴⁾	-	-	2.2547 (1.6729; 3.0388)	< 0.001
Family history of fractures ⁽⁴⁾	-	-	1.5650 (0.9680; 2.5302)	0.068

Nota: EP: erro padrão dos coeficientes, OR: Odds Ratio (razões de chances), IC95%: intervalo de confiança de 95%. Teste de Hosmer-Lemeshow: p=0,337; área abaixo da cuva ROC AUC=0,66. (4) Variável com resposta binária do tipo sim ou não. Apresentadas as proporções para as respostas "sim". Fonte: Elaboração da autora, com base no banco de dados do projeto "Aspectos clínicos e epidemiológicos de pacientes submetidos à avaliação osteometabólica no CMV do Instituto Jenny Faria de Atenção à Saúde do Idoso e da Mulher"²⁵

The quality of the proposed model was verified by the Hosmer-Lemeshow test (p=0.337) and the calculated area below the ROC curve equal to AUC=0.66, indicating an acceptable discrimination capacity.

Discussion

The literature indicates that approximately one-third of the elderly population experiences an annual fall episode, corroborating with the results of this study.² Other Brazilian research studies that evaluated the occurrence of falls in elderly people observed similar values: a study conducted with the elderly population of 23 Brazilian states observed a prevalence of falls in 27.6% of the interviewees.¹¹ Another study conducted in Juiz de Fora/MG reported falls in 32.1% of the elderly individuals,¹² whereas in a cohort one conducted in São Paulo falls were observed in 31.0%.¹³ More recently, a survey conducted in Santa Catarina showed a prevalence of falls in 28.3% of the sample of elderly individuals.¹⁴

The statistical analysis showed a positive association between falls and the female gender. Several national and international studies associate falling with the female gender, but the causes are not yet clearly defined.^{11,12,15} This fact may be related to greater bone and muscle fragility in women and, consequently, to a greater susceptibility to falls. In a cross-sectional study, a predominance of falls was observed in elderly women, with a prevalence for those who were exclusively dedicated to taking care of the household chores.¹⁶ It can be suggest that the causes may also be related to longer life expectancy and increased exposure of women to environmental risks.^{5,13}

The bio-availability of calcium from leafy vegetables is quite high, and several studies report a positive association between the consumption of these foods and the increase in bone mineral density.¹⁷⁻¹⁹ A cohort conducted in Australia has shown that vegetable intake is beneficial for reducing the risk of falls in elderly women; however, the limitation on the measurement of the



quantity of food in this research is recognized.²³ Nutritional studies with validated techniques could establish more robust relationships for the understanding of this factor. It could be observed in this study that the ingestion of dark green vegetables had a negative association with the occurrence of falls. Vegetable intake, in general, denotes healthy eating and international studies conducted in the Netherlands and Australia relate the occurrence of falls to the nutritional factor.^{20,21} Nutrition, fundamental to maintaining health, is strictly related to habits and lifestyle, which change over the years. If these habits are not well adapted, they can bring about consequences related to the loss of strength and resistance, such as sarcopenia and osteoporosis.²² Several factors can interfere with the eating habits of each elderly person, such as loss of teeth, financial limitations, need for help with food preparation and morbidities that affect mood and the digestive system and can decrease appetite and taste, creating an unfavorable scenario for a healthy diet.²² In many cases, the care plan elaborated for counter-referencing suggests the supplementation of calcium through food, and the patient receives detailed guidance from a nutritionist of the multidisciplinary team to adapt his or her routine and that of their relatives, a fact that may have contributed to the data found.

This study observed a positive association between the history of fractures and the occurrence of falls, a fact that has already been demonstrated in the literature. A study conducted with elderly community residents observed that a history of bone fractures can increase the chance of falling by almost eight times and signaled that, if this fracture was the result of a fall, the elderly become more vulnerable to further episodes.¹³

The differential of this study is the population, consisting of elderly men and women with a defined diagnosis of low bone mass, while most of the research studies deal with post-menopausal women without a previous diagnosis. The collection of these data becomes important to characterize the group in question and to favor the planning and evaluation of the health services.



For its economic and technical feasibility, telephone interviews are recommended for data collection in research and as a strategy for monitoring the health conditions of several populations.²⁴ However, it should be considered that many respondents may have had difficulty in remembering certain data at the risk that some responses do not correspond to reality. One limitation of this study is that the number of lost (missing) data varied according to the explanatory variables, but the size of the population helped to circumvent this occurrence.

Conclusion

The patients counter-referred from secondary care to the Health Centers were women, aged 70-79, with a BMI classified as normal or overweight, with a diagnosis of osteoporosis and a family history of fractures. As for the habits, a greater proportion did not engage in physical activity, did not drink or smoke, did not sunbathe and had a low intake of dark green vegetables. Moreover, most of them had a fixed income, had been contacted by primary care after counter-referral and depended exclusively on the SUS. A higher prevalence of falls was observed in women, in patients diagnosed with osteoporosis and in those with individual or family history of fractures. The statistical analysis showed that being male and not having an individual or family history of fractures were protective factors for the occurrence of falls, while the advanced age range was a factor that showed an increased chance of falling. It could also be observed that the ingestion of calcium-rich vegetables can be beneficial in reducing the risk of falls.

Funding sources

Foundation for Research Support of Minas Gerais (Fundação de Amparo à Pesquisa de Minas Gerais, FAPEMIG).

Project: Clinical and epidemiological aspects of patients submitted to osteometabolic evaluation at the Jenny Faria Institute for the Health Care of Elderly Individuals and Women. Via edict (direct mode), number APq-00760-14.

Beneficiary: Cristina Mariano Ruas – Federal University of Minas Gerais.

Value: R\$ 16,401.21.

Collaborators

All the authors have contributed to this work. Pinheiro FC: participated in all the phases of the project: conception of the research project, data analysis and interpretation, writing and critical review. Ruas CM: participated in all the phases of the project: conception of the research project, data analysis and interpretation, writing and critical review. Reis EA: participated in the phase of data analysis and interpretation, and in the critical review. They all make public their responsibility for the content.

Conflict of interest statement

The authors declare that there are no conflicts of interest regarding this article.

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